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PTO/SB/05 (11-00)
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	101403-6
First Inventor	Seichi Itakura et al.
Title	Electronic Commerce Method and System
Express Mail Label No.	EL 867734583 US

jc986 U.S. PRO
10/007386
10/22/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- | | |
|--|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="43"/>]
(preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text" value="9"/>]</p> <p>5. Oath or Declaration [Total Pages <input type="text" value="52"/>]</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p style="margin-left: 20px;">b. Specification Sequence Listing on:</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p style="margin-left: 40px;">ii. <input type="checkbox"/> paper</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|--|---|

ACCOMPANYING APPLICATION PARTS

9.	<input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))	
10.	<input type="checkbox"/>	37 CFR 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
11.	<input type="checkbox"/>	English Translation Document (if applicable)	
12.	<input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13.	<input type="checkbox"/>	Preliminary Amendment	
14.	<input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15.	<input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16.	<input type="checkbox"/>	Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17.	<input type="checkbox"/>	Other:	

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group / Art Unit _____


For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 27387 or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name					
Address					
City		State		Zip Code	
Country		Telephone		Fax	

Name (Print/Type)	Bruce S. Londa	Registration No. (Attorney/Agent)	33,531
Signature		Date	Oct. 22, 2001

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	TBA
Filing Date	Concurrently Herewith
First Named Inventor	Seiichi Itakura et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	101403-6

TOTAL AMOUNT OF PAYMENT

\$780.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

14-1263

Deposit
Account
Name

Norris, McLaughlin & Marcus

- ☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status
See 37 CFR § 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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Fee Paid

101	740	201	370	Utility filing fee
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740.00

106	330	206	165	Design filing fee
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107	510	207	255	Plant filing fee
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108	740	208	370	Reissue filing fee
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114	160	214	80	Provisional filing fee
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SUBTOTAL (1)

\$740.00

2. EXTRA CLAIM FEES

Extra Claims		Fee from below	Fee Paid
Total Claims	18 - 20** = 0	X	0.00
Independent Claims	2 - 3** = 0	X	0.00
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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103	18	203	9	Claims in excess of 20
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102	84	202	42	Independent claims in excess of 3
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104	280	204	140	Multiple dependent claim, if not paid
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109	84	209	42	** Reissue independent claims over original patent
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110	18	210	9	** Reissue claims in excess of 20 and over original patent
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SUBTOTAL (2)

\$0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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Fee Paid

105	130	205	65	Surcharge - late filing fee or oath
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127	50	227	25	Surcharge - late provisional filing fee or cover sheet
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139	130	139	130	Non - English specification
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147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
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112	920*	112	920*	Requesting publication of SIR prior to Examiner action
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113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
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115	110	215	55	Extension for reply within first month
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116	400	216	200	Extension for reply within second month
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117	920	217	460	Extension for reply within third month
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118	1,440	218	720	Extension for reply within fourth month
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128	1,960	228	980	Extension for reply within fifth month
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119	320	219	160	Notice of Appeal
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120	320	220	160	Filing a brief in support of an appeal
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121	280	221	140	Request for oral hearing
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138	1,510	138	1,510	Petition to institute a public use proceeding
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140	110	240	55	Petition to revive - unavoidable
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141	1,280	241	640	Petition to revive - unintentional
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142	1,280	242	640	Utility issue fee (or reissue)
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143	460	243	230	Design issue fee
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144	620	244	310	Plant issue fee
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122	130	122	130	Petitions to the Commissioner
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123	50	123	50	Processing fee under 37 CFR § 1.17(q)
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126	180	126	180	Submission of Information Disclosure Statement
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581	40	581	40	Recording each patent assignment per property (times number of properties)
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40.00

146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))
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149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
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179	740	279	370	Request for Continued Examination (RCE)
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169	900	169	900	Request for expedited examination of a design application
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Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40.00

SUBMITTED BY

Name (Print/Type)

Bruce S. London

Registration No.
(Attorney/Agent)

33,531

Complete (if applicable)

Telephone

212-808-0700

Signature

Date

October 22, 2001

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Seiichi Itakura et al.**

Docket No.

101403-6

Serial No.

TBA

Filing Date

Concurrently Herewith

Examiner

TBA

Group Art Unit

TBAInvention: **Electronic Commerce Method and System**

I hereby certify that the following correspondence:

New patent application consisting of 43 pgs. of spec.; 9 sheets of drawings and 18 claims; declaration, assignment*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

October 22, 2001*(Date)***Kathleen D. Monical***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL 867734583 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**